

Sun Life Financial is a leading international financial services company, building on our strengths for over 140 years. We've known who we are from the dawn of our history, and it has served us well. After all these years our commitment to our customers is unwavering. Our objective is to deliver the best customer experience at every touch point, in every market in which we do business. We want our customers to achieve the peace of mind that comes with a lifetime of financial security, which is why we are committed to the highest standards of business ethics and good governance.

### Position: Compliance Analyst

## <u>Position Summary:</u>

Working as part of the Compliance Team, the Compliance Analyst will assist with the development, dissemination and administration of procedures, records and reports regarding the compliance programs of Sun Life Financial and its affiliates including those required under the Bermuda Proceeds of Crime Act, Canada's PCMLTFA and the OSFI B-8 guidelines regarding regulatory guidelines. Working with the Compliance Director, the Analyst reviews procedures, records and reports in order to monitor compliance and provide recommendations to ensure compliance. The Analyst will also interact directly and independently with staff at all levels across various business units and locations to follow up on compliance actions as necessary.

#### Position Responsibilities:

# Compliance program implementation, monitoring and reporting. ➤ Under the guidance of the Director, develops standard and nonstandard reports and

- related documents for SLF compliance programs, other mandated compliance programs and regulatory requirements as necessary, e.g. anti-money laundering.

  Assists the AML Reporting Officer, compliance management and staff and designated Business Unit Compliance Officers in monitoring compliance programs.
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  This includes researching compliance processes and industry trends to identify and solve potential issues and/or problems.

  Assist the AML Reporting Officer in the development, updating and delivery of
- Assist the AML Reporting Officer in the development, updating and delivery of compliance training, including AML training for the Bermuda operations.
   Reviews ERASE compliance list matching and red flag transaction activity and
- escalates to the business or AMLRO as necessary.
- Assists in conducting discrete tests of existing procedures to ensure their effectiveness in meeting the needs of the business and complying with our regulatory requirements. Assists in the design and development of new forms and manages the usage of all necessary forms as part of our compliance programs.

  > Working as part of the Compliance Team, assists in ensuring that all necessary action
- is taken to meet regulatory compliance requirements in a timely fashion.

  Other general duties as may be required from time to time by Management.
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#### Requirements:

Bachelor or related degree

what Sun Life has to offer.

- Financial Services professional, preferably with a knowledge of Life and/or Annuity products
- ➤ 3 to 5 years relevant professional experience.
- Excellent communication and interpersonal skills. (Ability to speak and translate Spanish would be an asset).
- Proven research, networking and attention to detail skills, as well as a solid working knowledge of Microsoft Office. This includes a working knowledge of project management and Company Data Systems and resources.
- Should be a team player with a collaborative approach to problem solving and capable of juggling multiple priorities
- Committed to the highest levels of customer service and performance, and acts in the best interest of Sun Life Financial and its affiliates.

A career with us will put you in good company. Across Sun Life and our affiliated companies we have more than 28,000 employees and 187,000 advisors around the world. We provide strong

have more than 28,000 employees and 187,000 advisors around the world. We provide strong and supportive leadership, a dynamic environment, and opportunities to follow your career path of choice, as well as competitive benefits. So if you want to join a leading, international financial services company and you want to innovate, inspire and be inspired, take a look at

If you are interested in applying for this position, please submit your Resume and a covering

AVP Controller, Compliance & Reporting Officer, Sun Life Financial

Victoria Hall, 11 Victoria Street, Hamilton HM 11

or

P.O. Box HM 3070, Hamilton HM NX, Bermuda

Closing date for applications: August 10,2011