

## Compliance Officer

Barrington Investments Limited is seeking a motivated and qualified Compliance Officer. Reporting directly to the Managing Director, the Compliance Officer will be have responsibility for ensuring compliance with applicable laws, statutory requirements and Guidance Notes, established policies and procedures and corporate guidelines as well as identifying compliance risk within the organization and its affiliated companies. The position will also provide compliance, financial and accounting services to clients on a consultancy basis as required. Flexible working hours will also be required.

General duties of the position include:

- Monitoring existing compliance procedures and designing and implementing improvements, new procedures, system controls and training;
- Proactive surveillance of trading activity;
- Liaison on compliance issues with regulatory bodies;
- Working closely with the Management team to address compliance issues and managing compliance risk;
- Liaising with clients and service providers on compliance matters and trading issues and assisting in the trading of securities on behalf of clients and intermediaries;
- Produce and analyze management information and reports as required;
- Consult with Management regarding possible areas for growth and development of potential new product or service offerings for the firm; and
- Provide compliance, financial and accounting services to clients on a consultancy basis as required.

The successful candidate will possess the following qualifications and skills:

- University degree and or other professional qualification and experience in the securities business;
- Securities/Investment Compliance education or experience;
- Recent banking, trust or investment firm exposure;
- Strong oral and written communication skills.

Application should be made in writing to Alyson Tuxworth, Firm Administrator, Cox Hallett Wilkinson Limited, 1 Victoria Street, Hamilton HM 12. Reference: Barrington Investments Limited.

Closing date: May 6<sup>th</sup>, 2011