

SVP, Head of Compliance & Operational Risk

Reporting to the Chief Risk Officer, you will be responsible for leading, coordinating and integrating the activities of Clarien's Compliance and Operational Risk teams as they execute their shared mandate to oversee, monitor and direct Clarien's risk management and compliance practices. Specific risks falling within the remit of the role include: corporate governance; regulatory compliance including Clarien's compliance with obligations relating to the prevention of money laundering and terrorism financing; operational, fiduciary and conduct risk; information security and insurance.

Primary Responsibilities:

- Design, implement and advance the governance frameworks through which Clarien satisfies its legal and regulatory obligations; aligns its risk management and compliance practices with the expectations of its Board and best practice recommendations and ensures that its operations remain robust and resilient to a changing environment.
- Provide management with practical advice, support and challenge regarding the implementation of these frameworks across Clarien's businesses and support functions, ensuring they understand and satisfy their "business as usual" responsibilities relating to the proactive identification, evaluation, management and mitigation of risks assumed and compliance with applicable legal and regulatory requirements.
- Lead, direct and motivate Clarien's Compliance and Operational Risk teams, managing employee performance, productivity and development. Maximize the efficiency and effectiveness of their programs; coordinating approaches to planning and testing and ensuring consistency and quality in the execution of their oversight responsibilities.
- Integrate information obtained from the execution of these programs with other internal and external sources to provide senior leadership and other key stakeholders with an independent, holistic and comprehensive view of Clarien's risk profile; emerging areas of risk; issues and concerns identified that require management attention and the status of management's response to them.
- Provide regular reporting to senior management and the Board on Clarien's risk profile, performance against relevant risk indicators and metrics, and issues and trends identified.
- Monitor the risk horizon, communicating emerging risks / risk trends and legal and regulatory changes to senior management and the Board and assessing how they will impact Clarien.
- Manage relationships with external stakeholders, including monitoring reviewing communication and responses to regulators and law enforcement.
- Provide subject matter expertise, support and, where appropriate, leadership of strategic change management initiatives, ensuring that related execution risks are addressed in an iterative, agile way and that any legal and regulatory requirements are addressed.
- Coordinate insurance renewal processes.
- Extensive knowledge of legal requirements and compliance regulations.
- Strong interpersonal and communication skills and an ability to influence behaviours at all levels of the organization, including outside his/her reporting lines.
- Experience in leading and motivating multi-specialism or multi-jurisdictional teams and acting as a manager of managers.
- Excellent analytical, problem solving and critical thinking skills. Skilled in managing multiple projects and competing priorities.
- Ability to interact and build relationships with colleagues at all levels of the organization, focusing on collaboration to achieve required results.
- Ability to forge a strong advisory relationship with the senior management team and Board and manage Clarien's relationships with relevant external stakeholders.

Competencies:

- Coaching
- Collaborative leadership
- Empowering others
- Strategic thinking
- Resource management

The successful candidate must have:

Skills, Qualifications and Experience Requirements

- Accomplished professional with 10+ years' leadership experience in audit, risk management and compliance roles in the financial services industry. Professional accounting or relevant risk management designation is preferred.
- Must be able to demonstrate broad technical knowledge and expertise commensurate with the role across relevant risk and compliance disciplines, including AML/ATF. A background in process and controls design is highly desirable.

Clarien is an equal opportunity employer and offers a competitive compensation package commensurate with qualifications and experience.

Please submit a detailed cover letter and résumé no later than October 12th, 2022 to:

Human Resources Department
25 Reid Street, Hamilton HM 11
P.O. Box HM 665, Hamilton HM CX
Email: jobs@clarienbank.com
Fax: + 441 296 7701

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We sincerely thank all applicants for their interest. Only those candidates under consideration will be contacted.

Clarien Bank Limited, through its wholly owned subsidiary companies, is licensed to conduct bank, investments, corporate service provider and trust business by the Bermuda Monetary Authority.

