

Senior Assistant Compliance Manager – Risk Steward

Compliance

Closing date: 19 October 2021

HSBC Bank Bermuda Limited invites applications for the role of Senior Assistant Compliance Manager – Risk Steward. Reporting to the Senior Compliance Manager, the incumbent will be responsible for ensuring that the Compliance function is effective in identifying, managing and mitigating Regulatory Compliance and Financial Crime risks, while providing independent and objective oversight, challenge, and support to the First Line of Defence.

Major responsibilities

- Maintain an effective control framework and ensure compliance with all relevant external laws and regulations, internal codes and policies, and good market practices
- Provide Subject-Matter Expertise in risk stewardship services to the Compliance function in order to ensure clear understanding of compliance risk exposure with respect to Anti-Money Laundering (AML), Anti-Bribery and Corruption (AB&C), Sanctions, Tax Transparency, Fraud and Regulatory Conduct
- Manage, administer and actively support the relationship and interactions with all local Regulators in support of the Chief Compliance Officer and Chief Executive Officer, sustaining a positive relationship with the Regulators
- Actively engage in consultation, analysis, production and communication of guidance and co-ordination of required operational changes resulting from all proposed and enacted changes in local and extra-territorial legislation, regulation and regulator expectations impacting the Bermuda operation
- Ensure Compliance processes, including (but not limited to) compliance charts and risk assessments, compliance plans, compliance certificates, and exception reporting are kept current with regulatory change in Bermuda

Minimum qualifications

- At least 3-5 years of experience in one or more Compliance roles, preferably in the financial services industry
- In depth experience dealing with regulatory matters and confident interaction with Regulators and Audit
- Strong knowledge of AML, AB&C, Counter Terrorist Financing and Sanctions, market abuse, conflict management and other financial crime and regulatory compliance risks
- Knowledge of the Bermuda regulatory environment
- Lateral thinker with an ability to interpret and solve complex issues
- Excellent verbal and written communication skills
- Strong organizational and administrative skills

You'll achieve more when you join HSBC.

HSBC is committed to our purpose of Opening up a World of Opportunity by valuing difference, succeeding together, and taking responsibility. We are open to different ideas and cultures, and strive to build an environment where all employees are valued, respected and opinions count. We take pride in providing a workplace that fosters continuous professional development, flexible working and opportunities to grow within an inclusive and diverse environment. Personal data held by the Bank relating to employment applications will be used in accordance with our Privacy Statement, which is available on our website.

Interested applicants are invited to apply by sending a completed employment application, résumé and cover letter to:

Email:
recruitment.dept@hsbc.bm

Fax: 279-5826

Post: Recruitment Department,
Human Resources, HSBC
Bank Bermuda Limited, 37
Front Street, Hamilton HM 11

Application forms are available in all HSBC branches and at www.hsbc.bm. All enquiries will be held in strict confidence.

