

Chief Compliance Officer

Compliance

Closing date: 10 August 2020

HSBC Bank Bermuda Limited ("HBBM") invites applications for the position of Chief Compliance Officer, the senior accountable executive responsible for ensuring that HSBC's operations in Bermuda are effective in identifying, managing, and mitigating Regulatory Compliance, Financial Crime, and Fraud risks.

Major responsibilities

- Act as the Chief Compliance Officer for HBBM in relation to Regulatory Compliance, Financial Crime and Fraud risks
- Articulate the Regulatory Compliance, Financial Crime and Fraud risks for HBBM
- Provide advisory services to HBBM in order to ensure clear understanding of compliance risk exposure with respect to clients, transactions and products
- Manage the relationship with relevant regulators on behalf of HBBM
- Responsibility for second line governance of Regulatory Compliance, Financial Crime, and Fraud risks exposures, providing analysis, reporting and governance to HBBM that is independent of the first line of defence, thereby maintaining an objective assessment of risk exposure
- Assist the senior management of HBBM, the leadership of the Compliance function, and other colleagues on all material compliance related matters
- Represent the Compliance agenda up to the highest levels of the HSBC Group's governance, including being an attendee (as required) at the HBBM Board (and related sub-committees) to report on progress and issues in relation to the operational effectiveness of HSBC's policies, processes, systems and controls in countering Regulatory Compliance, Financial Crime, and Fraud risks
- Lead the HBBM Compliance team, establishing guiding principles and strategic operational objectives, owning budgeting and managing costs, headcount and resources to ensure that the teams are fit for purpose and that the delivery of its critical services to the Group is both effective and cost-efficient
- Act as a member of the HBBM Executive Management Committee, ensuring that the HBBM purpose and strategy are executed in country

Minimum qualifications

- Significant experience as a regulator, or a senior compliance manager across all areas of Compliance risk within a global firm, in the financial services industry
- Legal or formal compliance qualification or professional background in a relevant risk or audit discipline
- Demonstrated experience interacting with regulators and law enforcement agencies
- Significant leadership experience and an experienced strategic thinker
- Excellent communication and interpersonal skills, with experience dealing with executives at all levels
- Ability to develop practical, cost effective solutions to complex global issues

Interested applicants are invited to apply by sending a completed employment application and your résumé and cover letter to:

Email:
recruitment.dept@hsbc.bm

Fax: 279-5826

Post: Recruitment Department,
Human Resources, HSBC Bank
Bermuda Limited, 37 Front
Street, Hamilton HM 11

Application forms are available in all HSBC branches and at www.hsbc.bm. All enquiries will be held in strict confidence.

You'll achieve more when you join HSBC.

At HSBC we are open to different ideas and cultures and live by our values of being dependable, open and connected. HSBC is committed to building a culture where all employees are valued, respected and opinions count. We take pride in providing a workplace that fosters continuous professional development, flexible working and opportunities to grow within an inclusive and diverse environment. Personal data held by the Bank relating to employment applications will be used in accordance with our Privacy Statement, which is available on our website.

