

The Ascendant Group Limited, which is a publicly traded investment holding company, invites applications for the position of

**APPLICATION DEADLINE:**

Monday  
October 15, 2018

Interested applicants may complete an Internal Application for Employment form or submit a cover letter and current resume to:

**E-MAIL:**

recruitment@ascendant.bm

# DIRECTOR SOX COMPLIANCE

## ACCOUNTING & FINANCE

Guided by the COSO Framework and relevant securities legislation, the Director SOX Compliance will establish a compliant internal control and risk assessment framework. The incumbent is responsible for the identification, development and implementation of internal controls over financial reporting to comply with corporate policy and securities legislation [SOX or equivalent]. Collaborate with business units to recommend/assist with process improvement and drive a shift in the corporate culture toward a strong risk and control environment.

**KEY RESPONSIBILITIES:**

- Maintain policy statement and provide education and training to ensure employees understand the standard of risk and control required to comply with securities legislation [SOX or equivalent];
- Ensure all business processes are aligned to corporate policy and include the appropriate levels of control to be compliant with relevant securities legislation [SOX or equivalent]; work with process owners to develop and implement the appropriate processes and procedures
- Establish a process to regularly test internal controls over financial reporting; manage compliance, implement improvements and/or changes and update as necessary
- Lead company-wide annual risk assessment process in accordance with the COSO Framework
- Work with Board Audit and Risk Committee in ensuring the identification and prioritization of risks and control remediation and reporting of same
- Conduct interviews, working one-on-one with clients and drive process modeling discussions with very minimal support and guidance from management
- Liaise with business units to ensure key stakeholders and customers are aligned with the operating model
- Liaise with department heads in ensuring completion of risk registers and monitor implementation of action plans to ensure risk mitigation efforts are proceeding as required
- Partner and liaise with external auditors and external consultants to plan and implement an assessment of the control environment and the annual plan and timeline for doing so.
- Has supervisory responsibilities for internal and/or external resources

**KEY JOB REQUIREMENTS:**

- Bachelor's Degree in Accounting, Finance or related field.
- A minimum of eight [8] years' related business experience with at least 5 years in an internal controls, internal audit or SOX function.
- In-depth knowledge of SOX internal controls framework and certification process
- In depth knowledge or enterprise risk practices
- Proficiency in business modeling methodologies, including Business Process Modeling
- Proven experience with business process management tools.
- Project management experience showing ability to manage project tasks and plans to meet critical deadlines.
- Ability to work in a complex and ever changing business environment
- Superior analytical and project management skills with great attention to detail
- Excellent report writing and presentation skills, with the ability to deliver/present complex information clearly to ensure ease of understanding at senior executive / board level
- A high level of initiative with the ability to work in a team environment
- Excellent written and verbal communication skills, with the ability to liaise with all levels of the Organization
- Strong computer skills using all Microsoft Office software and other programs associated with the position

Ascendant Group Limited and its operating companies are a drug, alcohol and smoke free environment and, as such, requires all applicants to successfully pass a pre-employment drug and alcohol screening prior to an offer of employment.