

Senior Compliance Officer

Location: Bermuda

About MUFG Investor Services

MUFG Investor Services provides asset servicing solutions to the global investment management industry. Leveraging the financial and intellectual capital of MUFG – one of the largest banks in the world with \$2.4 trillion in assets – we provide clients access to a range of leading solutions from fund administration, middle-office outsourcing, custody, foreign exchange, trustee services and depository to securities lending and other banking services.

About MUFG Fund Services (Bermuda) Limited

MUFG Fund Services (Bermuda) Limited is fully licensed by the Bermuda Monetary Authority to act as a Fund Administration service provider and is part of MUFG's Investor Services Group.

Requirement

Due to exceptional demand for its services we are seeking a Senior Compliance Officer in our Bermuda office to work within the group Compliance Team reporting to the Chief Compliance Officer (CCO) based in Dublin. The role will require the candidate to assist the CCO in advising the business, implementing and supporting adherence to regulatory requirements.

This is an excellent opportunity to join a growing international Fund Administration institution which is part of the fifth largest bank in the world.

Core responsibilities:

- Identify applicable laws and regulations, monitor and implement regulatory changes;
- Ensure best practices are carried out by the business in relation to legislation and regulations;
- Maintain and develop the AML Framework. Ensuring compliance with the Compliance Monitoring Plan and AML Framework in place across all relevant business areas and jurisdictions;
- Work with the Risk Department to ensure Operational and Regulatory Risks are adequately monitored, tested and documented;
- Ensure provision of prompt and accurate advice to the business on compliance and regulatory matters;
- Management of and the ongoing and appropriate development of staff;
- Assist with developing and providing training to Bermuda staff and clients, where applicable;
- Liaise with Bermuda Monetary Authority to ensure requirements are maintained under relevant Licenses;
- Escalation of any major issues in the above areas to the CCO/ Group MLRO;

The successful candidate should:

- Have a relevant degree or other third level qualification or relevant professional qualification,
- 6 years+ experience within a Compliance role in the Fund Industry;
- Have excellent knowledge of Bermuda regulations - US, Cayman and Irish an advantage;
- Have previous experience liaising with regulators
- Possess strong interpersonal and communications skills (oral, written and presentation);
- Proficient in the Microsoft Office suite of software applications, with strong Excel skills;
- Have the ability to work on own initiative, ability to prioritise workloads and deliver within strict deadlines.

Preference will be given to candidates who:

- Have performed a similar role
- Are Bermudian or spouse of a Bermudian

Qualified candidates will be required to attend an interview which may include conference calls with other locations.

If interested please email: operationsrecruiting-bermuda@mfsadmin.com

All enquiries will be dealt with in strict confidence.

MUFG Investor Services is an equal opportunity employer

Closing date: August 19, 2016