

# Senior Compliance Officer, Monitoring

Global Financial Crime Compliance & Regulatory Compliance

Closing date: June 26, 2015

HSBC Bank Bermuda Limited is seeking an experienced and qualified Senior Compliance Officer for Monitoring to assist the Head of Financial Crime Compliance & Regulatory Compliance in delivering a number of key objectives. The Senior Compliance Officer for Monitoring is a key risk management role that has responsibilities for managing a team of Financial Crime and Regulatory Compliance monitoring specialists with guidance from the Regional Head of Monitoring and the Bermuda Head of Financial Crime and Regulatory Compliance.

## Major responsibilities

- Effectively manage a small team of Financial Crime and Regulatory Compliance (FCC and RC) Monitoring specialists by demonstrating leadership and strong people management skills
- Actively participate in the Compliance Risk Assessment process across different business lines on an ongoing basis
- Define and deliver an annual monitoring plan to test the adequacy of FCC and RC controls in place within the business to identify potential and actual weaknesses in the control environment. Ability to support reported findings with sound reasoning and clearly articulated recommendations, addressing business and regulatory issues
- Ensure resolution of all identified issues promptly, and escalate concerns to ensure timely awareness of (a) issues uncovered during monitoring; (b) delays by the Business in implementing agreed resolutions; and (c) delays to the execution of monitoring plan

## Minimum qualifications

- University degree, preferably in Business Administration or a related field and a minimum of 3 years of significant Compliance or Audit experience covering relevant business areas as well as knowledge of key current regulatory requirements and their impacts on the businesses
- Extensive knowledge of Anti-money Laundering, Sanctions, Anti-Bribery and Corruption, Conduct and other FCC and RC risks and their mitigation This should include a strong understanding of the Bermuda regulatory environment and interpretation of BMA rules and guidance
- Highly motivated self-starter with proven ability to work collaboratively within teams and to deliver on time
- Excellent communication and inter-personal skills, with experience of dealing with colleagues at all levels including the capacity to articulate the case for risk management and policy in the language of business

Interested applicants are invited to apply by sending your résumé and cover letter to:

**Email:**  
recruitment.dept@hsbc.bm

**Fax:** 299 6524

Post Recruitment Department,  
Human Resources, HSBC  
Bank Bermuda Limited, 37  
Front Street, Hamilton HM 11

Application forms are available in all HSBC branches and at [www.hsbc.bm](http://www.hsbc.bm). All enquiries will be held in strict confidence.

