



The LOM Group's global network offers investment strategies to our clientele with subsidiaries based in Bermuda and the Bahamas.

Compliance Manager

Global Custody & Clearing Ltd. is seeking a Group Compliance Manager in our Bermuda office. Qualified candidates will have:

- University Degree preferred, or relevant Canadian Securities Institute management and supervision courses, and/or recognized compliance qualifications;
- At least 8 years relevant industry experience (4 yrs at a senior level) w/knowledge of retail broker-dealer compliance and operations, AML and risk management and financial markets;
- Must have practical experience in analyzing regulations, writing policies and implementing procedures to ensure compliance, and in reporting to senior internal stakeholders;
- Must be proactive and work well under pressure. Excellent writing skills and references are required.

The general responsibilities of the position will include:

This is a standalone position responsible for all aspects of compliance and operational risk management for the Group's brokerage, asset management, and corporate finance operations in several jurisdictions. Approve and process all client account openings and documentation. Serve as MLRO for Bermuda-regulated companies. On-going monitoring of transactions and accounts. Further development of AML and risk policies and systems. Provide ongoing training to staff. Perform regular risk assessments and prepare reports for management and regulators.



If you are interested in this position, please deliver or email to:

The Human Resources Department
The LOM Group, The LOM Building, 27
Reid St., Hamilton HM 11
Email:HR@LOM.com or 292 5000
www.LOM.com

Applications received after 02 June 2015 will not be considered.

LOM is an Equal Opportunity Employer

**INTELLIGENT
INVESTMENTS**

LOM offers a world class business platform with a choice of jurisdictions to our international and local clientele.