

# Compliance Officer AML Investigator

Global Financial Crime Compliance & Regulatory Compliance

Closing date: 14 November 2014

HSBC Bank Bermuda Limited is seeking an experienced and qualified Compliance Officer, Anti-Money Laundering (AML) Investigator to assist management in identifying and containing compliance risk. The individual will be responsible for conducting investigations at the direction of senior management and for assisting the AML Systems Analyst for ensuring key AML Systems are functioning properly and effectively.

## Major responsibilities

- Investigate manual and/or system-generated reports or alerts of unusual activity, ultimately providing information to the MLRO to determine whether formal Suspicious Activity Reports (“SARs”) should be filed
- Assist in the production of management information and reports relating to AML monitoring activity or SARs filed and particularly the results of analysis of alerts generated by the automated system
- Perform ad-hoc reviews on high-risk AML topics as directed by the Country Head of AML and complete Production Orders and other requests from Authorities
- Support the AML systems analyst in reviewing exception reports and system testing
- Understand key products and services offered by the business and have a clear understanding of the AML/ATF compliance risks applicable to these products and services

## Minimum qualifications

- University degree and/or technical qualification in securities, banking or general financial services industry and a minimum of 3 years of strong compliance experience
- Strong verbal and written communications, analytical, organizational and investigative skills, to be carefully balanced with an understanding of business priorities
- Knowledge of AML typologies and investigations
- Familiar with the principles and practice of financial services regulation
- Proficient in the use of personal computers, Microsoft Office software and relevant databases

Interested applicants are invited to apply by sending your résumé and cover letter to:

**Email:**  
recruitment.dept@hsbc.bm

**Fax:** 299 6524

Post Recruitment Department,  
Human Resources, HSBC  
Bank Bermuda Limited, 37  
Front Street, Hamilton HM 11

Application forms are available in all HSBC branches and at [www.hsbc.bm](http://www.hsbc.bm). All enquiries will be held in strict confidence.

