



BSX:LOM.BH

LOM

The LOM Group's global network offers investment strategies to our clientele with subsidiaries based in Bermuda and the Bahamas.

Compliance Manager

Global Custody & Clearing Ltd. is seeking a Group Compliance Manager in our Bermuda office. Qualified candidates will have:

- University Degree. Candidates with a Canadian Securities Course OR equivalent & compliance qualifications would have an advantage;
- At least 8 years relevant industry experience (4 yrs at a senior level) w/knowledge of retail broker-dealer compliance and operations, regulatory and risk management and financial markets;
- Must have practical experience in analyzing regulations and legislation, developing and writing policies and procedures to ensure compliance, and in reporting to senior internal stakeholders;
- Must be proactive and work well under pressure. Excellent writing skills are required.

The general responsibilities of the position will be:

Ensuring the Group's compliance with local regulations in several jurisdictions. Responsible for all on going compliance-related tasks, and development, monitoring and enforcement of policies and procedures for the brokerage, asset management and corporate finance operations. Serve as MLRO for Bermuda-regulated companies. Approve and process all client account openings and documentation, on-going monitoring of transactions and accounts, develop anti-money laundering and risk policies, systems, and training. Maintain strong working relationship with regulators. Audit internal operations for compliance with company policy, and prepare regular reports and risk assessment for the Board and regulators..

If you are interested in this position, please deliver or email to:

The Human Resources Department
 The LOM Group, The LOM Building, 27
 Reid St., Hamilton HM 11
 Email: HR@LOM.com or 292 5000
www.LOM.com

Applications received after 25 Sep 2014 will not be considered.

LOM is an Equal Opportunity Employer



INTELLIGENT INVESTMENTS

LOM offers a world class business platform with a choice of jurisdictions to our international and local clientele.